

# Testing quantum satisfiability

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Background

Quantum  $k$ -SAT

Main theorems and proofs



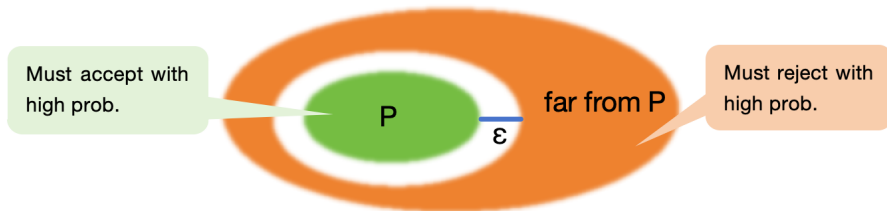
# Property testing

High level idea: Determine whether **an unknown "massive object"** has some property  $\mathcal{P}$  of interest or far from having the property, while inspecting only **a tiny fraction** of the object.



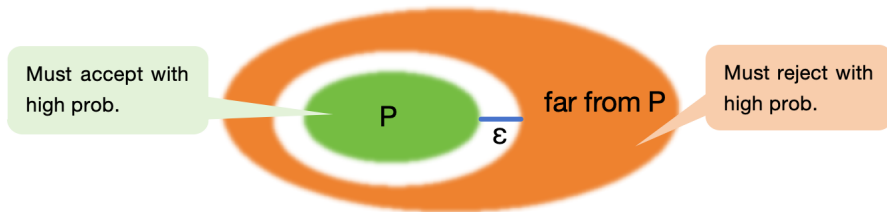
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E.g., testing triangle-freeness in a graph:

- ▶ accept if triangle-free (i.e., no triangles)
- ▶ reject if a constant fraction of edges should be removed in order to be triangle-free.



# Importance of property testing

- ▶ A relaxation of **decision problems**:
  - ▶ If the object is very large, it is infeasible to examine all of it and we must design algorithms that examine only a small part of the object.
  - ▶ The object is not too large to fully examine, but the exact decision problem is NP-hard, e.g., SAT,  $k$ -Colorability.



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Usually, testing algorithms are much faster than decision and learning algorithms. For example, for learning  $k$ -junta boolean functions, the learning algorithm costs  $\Theta(2^k)$  while the testing algorithm only costs  $\Theta(k)$ .



## An example: BLR linear testing [Blum, Luby and Rubinfeld, STOC 1990]

Given a boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ , decide if it is either linear or far from linear.



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The BLR testing algorithm ( $k = 3$ ):

- ▶ Choose  $x, y \in \{0, 1\}^n$  independently and randomly
- ▶ Evaluate  $f(x), f(y), f(x + y)$
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This algorithm is a key ingredient in proving the famous [PCP theorem](#), the most important achievement of classical complexity theory in the past quarter century.



## Boolean satisfiability problem (SAT problem)

SAT is the problem of deciding if there is an assignment to the variables of a Boolean formula such that the formula is satisfied, i.e., decide if

$$\bigwedge_{s=1}^N (y_{s_1} \vee \cdots \vee y_{s_k}) = 1$$

has a solution, where  $y_i \in \{x_i, \bar{x}_i\}$  and  $x_i \in \{0, 1\}$ .



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**The PCP theorem:** it is NP-hard to distinguish between

- (1) an instance of  $k$ -SAT is completely satisfiable, or
- (2) no more than 99% of its constraints can be satisfied.



# Quantum computing basis

A quantum system of  $n$  qubits: A Hilbert space

$$\mathcal{H} = \text{span}\{|i_1, \dots, i_n\rangle : i_1, \dots, i_n \in \{0, 1\}\},$$

where  $|i_1, \dots, i_n\rangle = |i_1\rangle \otimes \dots \otimes |i_n\rangle$ . Mathematically, we can view

$$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

The notation  $|\cdot\rangle$ , called Dirac notation or ket, provides a way to clarify that we are speaking of a column vector. The bra notation  $\langle\cdot|$  is used to denote a row vector.

A quantum state  $|\psi\rangle = \sum \psi_{i_1, \dots, i_n} |i_1, \dots, i_n\rangle$ , where  $\psi_{i_1, \dots, i_n} \in \mathbb{C}$  and  $\sum |\psi_{i_1, \dots, i_n}|^2 = 1$ .



## $k$ -local Hamiltonian

A  $k$ -local Hamiltonian  $H$  acting on a system of  $n$  qubits is a  $2^n \times 2^n$  Hermitian matrix that can be written as  $H = \sum_i H_i$ , where each  $H_i$  is Hermitian of operator norm  $\|H_i\| \leq 1$  and acts non-trivially only on  $k$  out of the  $n$  qubits.



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For example,  $H = X \otimes I \otimes I + I \otimes Y \otimes I + I \otimes Y \otimes Z$  is 2-local. Sometimes we just write it as  $H = X_1 + Y_2 + Y_2 Z_3$ .



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### Definition ( $k$ -local Hamiltonian problem ( $k$ -LH))

- ▶ **Input:**  $H = \sum_{i=1}^m H_i$  with  $m = \text{poly}(n)$ ,  $a, b \in \mathbb{R}$  with  $b - a > 1/\text{poly}(n)$ .
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Kitaev (1999):  $k$ -LH is QMA-hard, a quantum analog of NP-hard.



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Kitaev (1999):  $k$ -LH is QMA-hard, a quantum analog of NP-hard.

Quantum PCP conjecture:  $k$ -LH is QMA-hard if  $b - a = \Omega(1)$ . Still open!



## LH is a natural generalisation of SAT

For  $C = x_1 \vee x_2 \vee \bar{x}_3$ , define

$$H = |001\rangle\langle 001| = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

So  $H|i_1, i_2, i_3\rangle = 0$  iff  $(i_1, i_2, i_3) \neq (0, 0, 1)$ . All these  $(i_1, i_2, i_3)$  are solutions of  $C$ .





# LH is a natural generalisation of SAT

For a general 3-SAT:  $C = \bigwedge_{i=1}^m C_i$ , we similarly define  $H = \sum_{i=1}^m H_i$ , which is 3-local.

- ▶ If  $H|i_1, \dots, i_n\rangle = 0$  then  $C$  has a solution,
- ▶ If  $H|i_1, \dots, i_n\rangle \neq 0$  for all  $i_1, \dots, i_n$ , then  $C$  has no solution.

In summary, 3-SAT  $\Leftrightarrow$  Is the smallest eigenvalue of  $H$  at most 0, or is it at least 1?



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# Quantum $k$ -SAT problem: due to Bravyi (arXiv:quant-ph/0602108)

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A quantum  $k$ -SAT problem is as follows: is there some  $|\psi\rangle$  which satisfies all the constraints  $\{\pi_s : s \subseteq [n], |s| = k\}$ ? i.e:

$$\sum_{s \subseteq [n], |s|=k} (\pi_s \otimes I_{\bar{s}})|\psi\rangle = 0.$$



# Importance of QSAT

- ▶ **Quantum complexity theory:**
  - ▶ Quantum  $k$ -SAT and its optimization variant ( $k$ -LH), are central to quantum complexity theory, being  $\text{QMA}_1$ - and  $\text{QMA}$ -complete problems.



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- ▶ **Frustration-freeness systems:**
  - ▶ Quantum  $k$ -SAT is also relevant to frustration-freeness (no energy increase) in many-body physics (global ground state is also a local ground state).





## Connection to classical SAT problem

- ▶ Consider the case  $k = 2$ , then  $x_1 \vee x_2 = 1 \Leftrightarrow (x_1, x_2) = (0, 1), (1, 0) \text{ or } (1, 1)$
- ▶ On a quantum computer,  $x_1 \vee x_2$  corresponds to  $|\phi_{12}\rangle = |0\rangle|0\rangle$   
The solutions correspond to  $|\psi_{12}\rangle = a|0\rangle|1\rangle + b|1\rangle|0\rangle + c|1\rangle|1\rangle$
- ▶ We can view  $|\phi_{12}\rangle$  as a projector  $\pi_{12} = |\phi_{12}\rangle\langle\phi_{12}|$ . As a result,

$$\pi_{12}|\psi_{12}\rangle = 0.$$



## Previous results

- ▶  $k = 2$ , QSAT is in P  
 $O(n^2)$  [Bravyi, Contemporary Mathematics 2006]  
 $O(n)$  [Arad et al. Theory of Computing 2015]
- ▶  $k = 3$ , it is  $\text{QMA}_1$ -complete (a quantum analogue of NP-complete)  
[Gosset, Nagaj, SIAM J Comput, 2012]
- ▶  $k \geq 4$ , it is  $\text{QMA}_1$ -complete  
[Bravyi, Contemporary Mathematics 2006]
- ▶ Some cases that can be solved efficiently  
[Aldi et al. Commun. Math. Phys., 2021]
- ▶ Rank 1 case with few constraints:  
[Ambainis, Kempe, Sattath, JACM, 2009]
- ▶ Random QSAT problem  
[Laumann et al. Phys. Rev. A 2010]
- ▶ .....



# Testing QSAT

Given a QSAT instance  $\Pi = \{\pi_s : s \subseteq [n], |s| = k\}$ , decide if it is **satisfiable**, i.e.,  $\sum_{s \subseteq [n], |s|=k} \pi_s |\psi\rangle = 0$  for some  $|\psi\rangle$ , or  **$\epsilon$ -far from satisfiable**.



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Here  $\varepsilon$ -far means, one must **remove  $> \varepsilon n^k$  projectors** before the instance becomes satisfiable. Namely, if  $S \subseteq \binom{[n]}{k}$  is such that

$$\sum_{s \subseteq [n] \setminus S, |s|=k} (\pi_s \otimes I_{\bar{s}}) |\psi\rangle = 0$$

for some  $|\psi\rangle$ , then  $|S| > \varepsilon n^k$ .



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for some  $|\psi\rangle$ , then  $|S| > \varepsilon n^k$ .

We are interested in the case if  $|\psi\rangle$  is a **product state** or not in the above definition, i.e., if  $|\psi\rangle = |\psi_1\rangle \otimes \cdots \otimes |\psi_n\rangle$ .



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A short summary of our results: We proved a similar result for testing QSAT.



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## Locally satisfiable by a product state

Let  $\Pi = \{\pi_s : s \subseteq [n], |s| = k\}$  be an instance of quantum  $k$ -SAT, and let  $A := \{a_1, \dots, a_c\} \subseteq [n]$  be some subset. We say that the instance is **locally satisfiable** by a product state at  $A$  if there exists a product state  $|\psi\rangle = |\psi_{a_1}\rangle \otimes \dots \otimes |\psi_{a_c}\rangle$  such that

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**Remark.** Note that while being (un)satisfiable or  $\varepsilon$ -far from satisfiable by a product state are global properties involving all the qubits, being locally satisfiable is a local property involving only a subset of the qubits.



# Main theorems

Theorem 1 (Satisfiability implies local satisfiability by a product state with high probability)

Let  $\Pi = \{\pi_s : s \subseteq [n], |s| = k\}$  be a *satisfiable* instance of quantum  $k$ -SAT. Let  $c \in \mathbb{N}$  be fixed and  $c \geq 3$ . Let  $A \subseteq [n]$  be a subset chosen uniformly at random of size  $c$ .

Then the probability that the instance is *locally satisfiable by a product state* at  $A$  is greater than 0.75 whenever

$$n \geq 2^{6c}.$$



# Main theorems

Theorem 2 (Being  $\varepsilon$ -far from satisfiable by a product state implies local unsatisfiability by a product state with high probability)

*There is a constant  $c(k, \varepsilon)$  independent of  $n$  such that the following holds:*

*Let  $\Pi = \{\pi_s : s \subseteq [n], |s| = k\}$  be any instance of quantum  $k$ -SAT which is  $\varepsilon$  **far from satisfiable** by a product state.*

*Then, for a randomly chosen subset  $S \subseteq [n]$  of size  $c(k, \varepsilon)$ , the instance is **locally unsatisfiable by a product state** at  $C$  with probability at least  $p > 0.75$ .*



# A corollary

## Corollary

*With the promise that the instance is either satisfiable or  $\varepsilon$ -far from satisfiable by a product state, **quantum  $k$ -SAT can be solved in time polynomial in  $n$ .***

## Proof.

- ▶ By Theorem 1, satisfiable  $\Rightarrow$  locally satisfiable by a product state.
- ▶ By Theorem 2,  $\varepsilon$ -far from satisfiable  $\Rightarrow$  locally unsatisfiable by a product state.
- ▶ So all we need to do is check satisfiability by a product state on randomly chosen constant-sized subsets. This can be done, say, by Gröbner basis method.





# Proof of Theorem 1

## Theorem (An equivalent statement)

*Let  $|\psi\rangle \in (\mathbb{C}^2)^{\otimes n}$  be any state and let  $A \subseteq [n]$  be a subset chosen uniformly at random of size  $c$ . The probability that the subspace  $\text{supp}(\text{Tr}_{\bar{A}}(|\psi\rangle\langle\psi|)) \subseteq (\mathbb{C}^2)^{\otimes c}$  contains a product state is greater than  $p \in (0, 1)$  whenever  $n > \Psi(p, c)$ .*

## Proof. (From states to local satisfiability).

Suppose  $\Pi = \{\pi_s : s \subseteq [n], |s| = k\}$  is satisfiable by a solution  $|\psi\rangle = \sum_k |\psi_{k1}\rangle_A |\psi_{k2}\rangle_{\bar{A}}$  (the Schmidt decomposition), then

$$\blacktriangleright \pi_s |\psi\rangle = 0 \Leftrightarrow \pi_s |\psi_{k1}\rangle_A = 0 \ (\forall k) \text{ for all } s \subseteq A.$$



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- ▶  $\pi_s |\psi\rangle = 0 \Leftrightarrow \pi_s |\psi_{k1}\rangle_A = 0 \ (\forall k)$  for all  $s \subseteq A$ .
- ▶  $\text{Tr}_{\bar{A}} |\psi\rangle\langle\psi| = \sum_k |\psi_{k1}\rangle_A \langle\psi_{k1}|_A \Rightarrow \text{supp}(\text{Tr}_{\bar{A}} |\psi\rangle\langle\psi|) = \text{Span}\{|\psi_{k1}\rangle_A\}.$



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- ▶ Any state in  $\text{supp}(\text{Tr}_{\bar{A}} |\psi\rangle\langle\psi|)$  is a local solution of  $\Pi$ . Particularly, if it contains a product state, then the instance  $\Pi$  will be locally satisfiable by a product state.



## Proof (Cont.)

Proof. (From local satisfiability to states).

- ▶ Let  $|\psi\rangle$  be a state.



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- ▶ For every  $A \subseteq \binom{[n]}{c}$ , let  $\pi_A$  be the projector onto  $\ker(\text{Tr}_{\bar{A}}|\psi\rangle\langle\psi|)$ . This defines an instance of QSAT.
- ▶ If the instance is locally satisfiable by a product state, then  $\text{supp}(\text{Tr}_{\bar{A}}|\psi\rangle\langle\psi|)$  contains a product state.

□

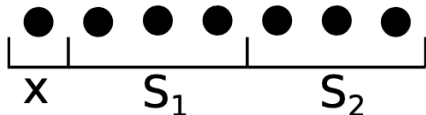


# Proof of Theorem 1

## Lemma (A key Lemma)

Assume that  $S_1 \cup S_2 = \{1, \dots, n\} \setminus \{x\}$ , then one of the following holds:

1. The space  $\text{supp}(\text{Tr}_{S_2} |\psi\rangle\langle\psi|)$  contains a product state  $|\psi_x\rangle \otimes |\psi_{S_1}\rangle$ .
2. The space  $\text{supp}(\text{Tr}_{S_1} |\psi\rangle\langle\psi|)$  contains a product state  $|\psi_x\rangle \otimes |\psi_{S_2}\rangle$ .



# Proof of Theorem 1

- ▶ Randomly choose  $x_1 \in \{1, 2, \dots, n\}$





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- ▶ Randomly choose  $x_1 \in \{1, 2, \dots, n\}$
- ▶ Assume that  $n - 1$  is even and consider all bipartitions  $B_1 \cup B_2$  of  $V := \{1, 2, \dots, n\} \setminus \{x_1\}$ . By the key lemma, either  $\text{supp}(\text{Tr}_{B_1}|\psi\rangle\langle\psi|)$  or  $\text{supp}(\text{Tr}_{B_2}|\psi\rangle\langle\psi|)$  has a product state.



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- ▶ Define a  $(n - 1)/2$ -regular hypergraph  $G_1$  on  $V$ , where  $E \subseteq V$  is an edge if  $\text{supp}(\text{Tr}_{x_1, E} |\psi\rangle\langle\psi|)$  has a product state  $|\psi_{x_1}\rangle \otimes |\psi_E\rangle$ .



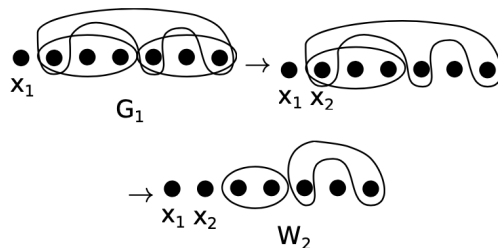
## Proof of Theorem 1

- ▶ Randomly choose  $x_2 \in \{1, 2, \dots, n\} \setminus \{x_1\}$



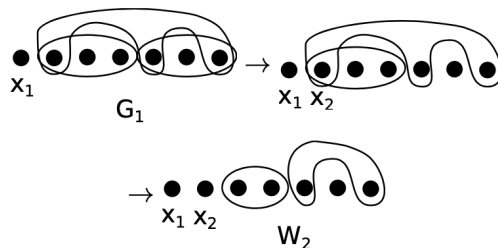
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- ▶ Randomly choose  $x_2 \in \{1, 2, \dots, n\} \setminus \{x_1\}$
- ▶ Define a  $(n-3)/2$ -regular graph  $W_2$  on  $\{1, 2, \dots, n\} \setminus \{x_1, x_2\}$ , where the edges are  $\{E - x_2 : E \text{ is an edge of } G_1, x_2 \in E\}$



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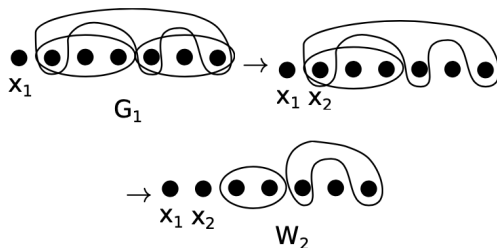


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- ▶ Now for every edge  $E \in W_2$ , we consider all bipartitions and use the Lemma again.
- ▶ We obtain a regular hypergraph  $G_2$  on the qubits  $[n] - \{x_1, x_2\}$ , such that for any edge  $E \in G_2$  we have  $\text{supp}(\text{Tr}_{\overline{\{x_1, x_2\} \sqcup E}}(|\psi\rangle\langle\psi|))$  contains a product state  $|\phi_1\rangle \otimes |\phi_2\rangle \otimes |\phi_E\rangle$



# Proof of Theorem 1

- We iterate the process to obtain a regular hypergraph  $G_{c-1}$  on the qubits  $[n] - \{x_1, \dots, x_{c-1}\}$  such that for any edge  $E \in G_{c-1}$  we have

$$\text{supp}(\text{Tr}_{\overline{\{x_1, \dots, x_{c-1}\} \sqcup E}}(|\psi\rangle\langle\psi|)) \text{ contains a product state} \\ |\phi_1\rangle \otimes \dots \otimes |\phi_{c-1}\rangle \otimes |\phi_E\rangle$$



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- ▶ We can lower bound the edge density and edge size of  $G_{c-1}$  using ideas from combinatorics and basic analysis to obtain the theorem.



## Proof of Theorem 2 – Main idea

### Theorem (Recall)

*There is a constant  $c(k, \varepsilon)$  independent of  $n$  such that the following holds:*

*Let  $\Pi = \{\pi_s : s \subseteq [n], |s| = k\}$  be any instance of quantum  $k$ -SAT which is  $\varepsilon$  far from satisfiable by a product state.*

*Then, for a randomly chosen subset  $A \subseteq [n]$  of size  $c(k, \varepsilon)$ , the instance is locally unsatisfiable by a product state at  $A$  with probability at least 0.75.*



## Proof of Theorem 2 – Main idea

- ▶ A local solution on  $A = \{i_1, \dots, i_p\} \subseteq \{1, \dots, n\}$  is a subspace  $P_A := V_{i_1} \otimes \dots \otimes V_{i_p}$ , such that for any  $|\psi\rangle \in P_A$ , we have  $\pi_s|\psi\rangle = 0$ , where  $s \subseteq A$ .



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- ▶ Our strategy is to extend a local solution to a global solution gradually. At each step, there appear some  $x \notin S$ , that are either not extendable, or  $x$  can be extended, but the extension after including  $x$  is “hard”. All these are bad.



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- ▶ **Lemma 1.** If  $\varepsilon$ -far, then for any local solution, there are at least  $\varepsilon n/5$  bad  $x$ .
- ▶ **Lemma 2.** If extending  $P_S$  according to bad  $x$ , then it is non-extendable when the length is larger than  $5 \cdot 4^{k-1}/\varepsilon$ .



## Proof of Theorem 2 – Main idea

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**Thank you very much for listening!**

